



PATENT
(5277-00106)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Hui Liu and Guanghan Xu

Serial No. 09/557,434

Filed: April 25, 2000

For: SMART ANTENNA CDMA
WIRELESS COMMUNICATION
SYSTEM

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Atty. Dkt. No.: 5277-00106

Examiner: Unknown

Group: Unknown

Certificate of Mailing 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below:

5/19/2001 
Date Jeffrey C. Hood

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant requests consideration of ☒ the references listed on the attached Form PTO-1449 and/or ☐ the additional information identified below in paragraph 3. A copy of each of the references listed on page 1 of the Form PTO-1449 were previously submitted to or cited by the U.S. Patent and Trademark Office in U.S. Application Serial No. 08/768,100, to which the captioned application is seeking priority under 35 U.S.C. §120. A copy of each reference listed on page 2 of the Form PTO-1449 is enclosed.

1. This Information Disclosure Statement is submitted:

- a. ☒ within 3 months of the filing date of the above identified application, or ☐ within 3 months of entry into National stage prosecution of an International application, or ☐ before the mailing date of the first Office Action on the merits, and thus no certification and/or fee is required.

- b. ☐ after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus:
- ☐ the certification of paragraph 2 below is provided, or
☐ a fee of \$240.00 is enclosed.
- c. ☐ after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus:
- ☐ the certification of paragraph 2 below is provided, and
☐ a Petition and fee of \$130.00 are enclosed.

2. It is hereby certified:

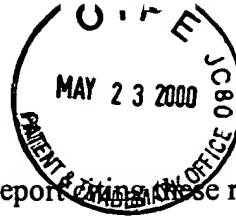
- ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following additional information (including any co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:

4. For each non-English language reference listed on the attached Form PTO-1449:

- ☐ reference is made to an English language translation submitted herewith, and/or
- ☐ reference is made to a foreign patent office search report (in the English language) submitted herewith, and/or
- ☐ reference is made to an English language translation of a foreign patent office search-report submitted herewith, and/or
- ☐ reference is made to the concise explanation contained in the specification of the present application at page(s) _____, and/or
- ☐ reference is made to the concise explanation set forth below:

5. ☐ Applicant also offers the following comments for the Examiner's consideration:



6. ☐ Also enclosed is a copy of a foreign search report ~~containing~~ these references.
7. ☐ The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.
8. ☐ Applicant(s) request that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

CHANGE OF ADDRESS

The Patent Office is respectfully requested to direct all future correspondence for this case to the below-referenced address.

If any required fees are missing, the Commissioner is authorized to charge said fees to Conley, Rose & Tayon, P.C. Deposit Account No. 03-2769/5277-00106/JCH. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

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